

# U.S. Patent Application

for

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## DEVICE AND METHOD FOR SELECTING FUNCTIONS BASED ON INTRINSIC FINGER FEATURES

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by

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### PRIORITY REFERENCE TO PRIOR APPLICATIONS

20 This application is a continuation in part based on U.S.  
Patent Application 09/847,977 filed May 2, 2001.

### Field of the Invention

25 This invention relates generally to input devices, and more  
particularly, but not exclusively, provides a device and  
method for selecting functions based on finger features.

### Background

30 Generally, conventional input keypads and keyboards only  
allow performance of a single function per key. For  
example, to display the letter "s" on a computer screen, a  
user must press the "s" key on a keyboard. In order to  
increase the number of functions selectable via a keyboard,  
a key combination must be pressed. For example, to display  
35 a capital character, e.g., "S", instead of a lower case  
character, e.g., "s", the user must press two keys  
simultaneously, e.g., "Shift" and "s".

- While the above-mentioned method may be an acceptable way of selecting functions using a keyboard, it is undesirable in small devices where space is at a premium and where it may be hard to distinguish between keys. For example, in a
- 5 mobile phone, the space available for a keypad is limited. Accordingly, in order to increase the number of keys on a keypad, the keys are made extremely small thereby making it hard for a user to distinguish between keys.
- 10 To assist cell phone users when storing names and corresponding telephone numbers in the cell's phonebook, cell phone designers have linked specific characters to each of the keys on the cell phone keypad. Users can depress a particular key multiple times to shift through
- 15 characters available by the particular key. For example, to enter the name of "Jim" on a cell phone, the user must depress the "5" key once, the "4" key three times, and the "6" key once. This can be quite a cumbersome process.
- 20 Another problem with conventional input devices is that, when the input devices are installed into vehicles, it is generally unsafe for an operator of the vehicle to temporarily cease viewing outside of the vehicle in order to input instructions with the conventional input device.
- 25 For example, in order to operate a radio receiver, a driver of a car may cease watching for oncoming traffic thereby leading to possible safety hazards due to the driver's inattention to traffic conditions.
- 30 Accordingly, new techniques are desirable that are generally amenable to input devices without limiting input functionality and/or input devices that can be used without

viewing the devices.

Additionally, it is sometimes desirable to be able to identify which user has inputted a certain command, or  
5 performed a particular operation, or to restrict certain users from being able to input commands.

### **SUMMARY**

10 The present invention provides an example system for an input device that allows selection of functions based on intrinsic finger features or characteristics, where a single user uses several fingers to select between the plurality of functions. A finger feature may include a  
15 fingerprint, shape of an individual fingernail while a finger characteristic may include data extracted from a finger feature, such as minutiae points or a pattern of the texture of the skin.

20 An exemplary embodiment could include one finger feature sensor, a processor, a memory device, and an input/output ("I/O") interface, all interconnected for example by a system bus. The sensor reads a feature of a finger, for example, a fingerprint, or the shape of the fingernail, and  
25 feeds the feature to the processor. The processor executes instructions in memory for determining a function based on an analysis and identification of the finger. The processor then forwards an instruction corresponding to the determined function to a device for execution.

30 The present invention further provides a method of selecting a function using the input device based on a

finger feature, where a single user uses several of such fingers to select between the plurality of functions. The method comprises the steps of receiving a finger feature from a sensor; finding the closest finger feature match in  
5 a database (typically stored in a memory) of finger features/characteristics and corresponding functions; and then sending a function command corresponding to the closest matched finger feature to a device for execution.

10 Accordingly, the device and method allows for replacing a conventional keypad with an embodiment of the present invention with fewer keys. For example, a conventional mobile phone keypad may have ten keys for the numbers 0-9. Using an embodiment of the invention would allow for  
15 replacing the ten keys with a single sensor. In the human hand embodiment, each finger of a user's two hands would then be able to activate a different number. For example, the left pinkie finger may be used to indicate "0", the left ring finger may indicate "1", and so forth. Or, a  
20 single button on the earpiece of the hands-free kit of a mobile phone can be used for dialing three different numbers, where dialing each number corresponds to touching the button with a particular finger.

25 In another exemplary embodiment, a dashboard of a vehicle having multiple buttons could be replaced with a single large sensor. For example, different radio presets can be controlled through a single button. Accordingly, a driver could activate different functions by pressing the sensor  
30 with a finger corresponding to function wanted, thereby eliminating the need of examining a conventional dashboard to identify the correct button to press. Further, a driver

may not be able to operate a dashboard device while driving due to the inability to see buttons due to darkness. Accordingly, using this embodiment of the invention enables a driver to select functions in a dashboard device without  
5 the need to identify individual buttons in darkness.

In yet another embodiment, a viewfinder used for aiming and targeting a weapon is equipped with a large sensor on its side. By touching this sensor with different fingers, the  
10 operator can perform different functions while looking in the viewfinder. Moreover, since the sensor reads the specific features of the operator's fingers, it is possible at a future time to identify the operator who issued particular commands or functions, or allow only a pre-  
15 authorized set of operators to issue commands or functions, thus rendering the system unusable if it falls into enemy's hands.

To further extend the functionality of the input device,  
20 the selection of a function may depend on both the finger which touches the input device, and the motion of this finger relative to the input device. An example of this embodiment is a laptop trackpad. For example, to drag-and-drop a desktop item on a computer desktop, the user first  
25 moves the cursor on top of the item by moving his index finger relative to the touchpad, then when the cursor is on top of the item, the user selects and drags it by moving the middle finger relative to the trackpad. Touching the trackpad with the ring finger when the cursor is above the  
30 item may correspond to the function "delete".

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Non-limiting and non-exhaustive embodiments of the present invention are described with reference to the following figures, wherein like reference numerals refer to like parts throughout the various views unless otherwise specified.

FIG. 1 is a block diagram illustrating a device embodiment;

10 FIG. 2 is a block diagram illustrating an input system;

FIG. 3 is a block diagram illustrating contents of a memory device of the system of FIG. 2;

FIG. 4A-4C are block diagrams of alternative embodiments of a sensor;

15 FIG. 5 is a diagram illustrating contents of finger feature table located in the memory device of FIG. 3;

FIG. 6 is a diagram illustrating contents of a finger feature table located in the memory device of FIG. 3 according to another embodiment of the invention; and

20 FIG. 7 is a flowchart of a method to select functionality of a button based on a finger feature.

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## **DETAILED DESCRIPTION OF THE ILLUSTRATED EMBODIMENTS**

The following description is provided to enable any person skilled in the art to make and use the invention, and is provided in the context of a particular application and its requirements. Various modifications to the embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to

other embodiments and applications without departing from the spirit and scope of the invention. Thus, the present invention is not intended to be limited to the embodiments shown, but is to be accorded the widest scope consistent with the principles, features and teachings disclosed  
5 herein.

FIG. 1. is a block diagram illustrating a device **100** for use with an embodiment of the invention. Device **100** is  
10 coupled to input system **105**. Device **100** may include an audio system, a mobile phone, a computer, a dashboard of a vehicle, a cockpit, a machine, a handheld computer, a medical device, a wearable computer, a camera, a video game controller, a wireless earpiece of a cellular phone hands-  
15 free kit, any device where the operator cannot see the controls while looking through the device, or any other device that makes use of an input control system. Input system **105** includes a sensor **110**, a sensor **120**, a sensor **130** and an optional (also referred to as additional) sensor  
20 **145**. Note that the input system **105** must have at least one sensor and the present invention is not limited to a small or large number of sensors. Sensors **110** - **130** and optionally **145** read finger features, such as fingerprints. Sensors **110** - **130** may also read other data such as  
25 coordinates touched (coordinates on a sensor surface touched by a finger) and motion (movement of a finger along a sensor surface), including character recognition. Optional sensor **145** can read other finger features, such as the shape of the fingernail, or the texture or pattern of  
30 the finger skin, using a CMOS or a CCD image sensor. Optional sensor **145** may continuously scan for finger features or may only be activated when a user touches one

of sensors **110 - 130**.

Based on finger feature matching and optionally on coordinate and/or motion analysis, system **105** sends a  
5 corresponding command, instruction or function to device **100** as a function of the matched finger feature, and of the optional coordinate and/or motion analysis. For example, if sensor **120** measures a feature of finger **140** indicating that finger **140** is an index finger, then system **105** may  
10 send a particular instruction to display the number "7." Alternatively, if finger **140** is a ring finger, then system **105** may send an instruction to device **100** to display the number "9." Sensors **110 - 130** and **145** will be discussed in further detail in conjunction with FIG. 4A, 4B and 4C.

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FIG. 2 is a block diagram illustrating the input system **105**. The system **105** includes a central processing unit ("CPU") **230**, such as an Intel Pentium® microprocessor or a Motorola Power PC® microprocessor, communicatively coupled  
20 to, for example, a system bus **240**. The system **105** further includes input sensors **110, 120, 130**, and **145** that read finger features, such as fingerprints, I/O interface **220**, which is communicatively coupled to device **100**, and memory **210** such as a magnetic disk, Random-Access Memory ("RAM"),  
25 or other memory device or a combination thereof, each communicatively coupled to the system bus **240**. One skilled in the art will recognize that, although the memory **210** is illustrated as an integral unit, the memory **210** can be one or more distributed units. In another embodiment, system  
30 **105** may be fully integrated into device **100** so that both system **105** and device **100** use only CPU **230** and memory **210** for all processing and data storage respectively.



Accordingly, I/O interface **220** would be optional. Yet in another embodiment, instead of the CPU and RAM connected by a system bus, a single dedicated DSP (Digital Signal Processing) chip may be used. It will be appreciated that, although some elements (including steps) are labeled herein as optional, other elements not labeled optional may still be optional.

CPU **230** executes instructions stored in memory **210** for receiving finger feature data from a sensor, generating a closest match of finger feature data to finger feature data stored in a table **310** (FIG. 3) in memory **210**, and then sending a function command stored in the table **310** corresponding to the closest match to the device **100**. In an alternative embodiment, CPU **230** executes instructions stored in memory **210** for receiving finger feature data from a sensor; identifying finger characteristics, such as minutiae points, from the feature data; generating a closest match of finger characteristic data to finger characteristic data stored in a table **310** (FIG. 3) in memory **210**, and then sending a function command stored in the table **310** corresponding to the closest match to the device **100**. Memory **210** and the instructions stored therein will be discussed in further detail in conjunction with FIG. 3.

Sensors **110**, **120**, **130**, and **145** may read several different types of finger features besides fingerprints. For example, sensors **110**, **120**, **130**, and **145** may read the shape of the fingernails, or the texture and the pattern of the skin just above the fingernail, and may therefore comprise CMOS or CCD sensors. Sensors **110**, **120**, **130** may also be

capable of reading coordinates of a finger touching a sensor and/or motion of a finger along a surface of a sensor.

5 The sensors **110**, **120**, **130**, and **145** may also each read the same finger features or may each read different finger features. Alternatively, each sensor may read multiple types of finger features. For example, sensors **110** - **130** may all read fingerprints or sensors **110**, **120** may read  
10 fingerprints while sensor **130** may read fingertip color. In another embodiment, sensors **110** - **130** may read both fingerprints and fingertip color. Examples of commercially available fingerprint sensors include the AuthenTec, Inc. EntréPad™ AES4000™ sensor and the ST Microelectronics TCS1A  
15 sensor. In another embodiment, sensors **110** - **130** may include touch pads or touch screens. Sensors **110** - **130** will be discussed in further detail in conjunction with FIGS. 4A - 4C.

20 FIG. 3 is a block diagram illustrating contents of memory **210**, which includes an operating system ("O/S") **300**, such as Linux or other operating system, a finger features/characteristics table **310**, a finger feature identification engine **320**, an optional coordinate analysis  
25 engine **330**, an optional motion analysis engine **340**, and a response engine **350**. Finger features/characteristics table **310** holds a table of finger features and/or characteristics and associated commands and will be discussed in further detail in conjunction with FIG. 5 and 6.

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Finger feature identification engine **320** analyzes finger feature data from sensors **110** - **130** and generates a closest

match of the finger feature data to finger features stored in finger features/characteristics table **310**. Identification engine **320** may use a correlation matcher algorithm, or other algorithm, depending on the type of  
5 finger feature measured by sensors **110-130**. In an alternative embodiment, identification engine **320** may identify finger characteristics, such as minutiae points, from received finger feature data and generate a closest match of the identified finger characteristics to finger  
10 characteristics stored in table **310** using a minutiae point matching algorithm in the case of minutiae points, and/or other algorithm.

Coordinate analysis engine **330** determines coordinates of a  
15 user's finger touching a sensor, such as sensor **110**. For example, a sensor can be divided into several virtual areas and the coordinate analysis engine **330** can identify which virtual area a user's finger has touched. Motion analysis engine **340** analyzes motion of a finger along a sensor  
20 surface and may include character recognition technology. Response engine **350** then, based on the closest matched finger feature or characteristic, and optionally on coordinate analysis results and/or motion analysis results, generates a response corresponding to the above-mentioned  
25 results as stored in finger features/characteristics table **310**. The response engine then may forward the generated response to device **100**. The generated response may include a command, such as a command to disable device **100**.

30 FIG. 4A - 4C are block diagrams of alternative embodiments of sensor **110**. Sensor **110a** may include a conventional fingerprint sensor such as AuthenTec, Inc. EntréPad™

AES4000™ sensor. Sensor **110a** scans a fingerprint when a user's finger touches sensor **110a** surface **400**. Sensor **110b** shows an embodiment of sensor **110**, wherein the surface of the sensor is divided into virtual areas or quadrants **410**,  
5 **420**, **430** and **440**. Sensor **110b**, in addition to having the ability of scanning a fingerprint, can also read coordinates, which can include determining which virtual quadrant was touched by a finger. Sensor **110c**, in addition to fingerprint scanning, can perform motion measurement of  
10 a finger along the surface of the sensor **110c**. For example, a finger moving from the top of the sensor **110c** surface to the bottom of the sensor **110c** surface, as indicated by arrow **460**, can be measured. In addition, sensor **110c** may be able to perform coordinate measurement.

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FIG. 5 is a diagram illustrating details of a finger feature/characteristic table **310a** located in the memory **210**. It will be appreciated that, although the structure of element **310a** is being described as a table, one skilled  
20 in the art will recognize that other database structures can be used such as linked lists. Table **310a** is for a single sensor and includes a single set of functions **500** and a single set of corresponding finger features, such as fingerprints **510a** or color **510c**. Alternatively, table **310a**  
25 may include a single set of corresponding finger characteristics, such as minutiae points maps **510b**. While table **310a** only comprises a set of three functions, any number of functions and corresponding finger features or characteristics may be stored in table **310a**. In addition,  
30 different users may have finger features stored in table **310a** thereby allowing multiple users to use a single device. In this case, a user who selected a particular

function of the device can be identified, of which a record may be created and stored in the memory. The sets of functions corresponding to a user's fingers can be different for different users. The device operation can be  
5 authorized only to a pre-determined set of users.

In order to store finger features or characteristics **510** into table **310a**, a user stores finger features or characteristics **510** into table **310a** using an optional  
10 initiation engine (not shown) that can be stored in memory **210**. The initiation engine uses sensors **110 - 130** and/or **145** when appropriate, to scan finger features into the table **310a**. Alternatively, some finger features **510** can be preprogrammed into table **310** before distribution of device  
15 **100** to users.

In operation, if a user, for example, touches a finger feature sensor associated with table **310a**, the sensor will scan the user's finger feature and then finger features  
20 identification engine **320** will look up the closest matching finger feature in table **310a**. Accordingly, if the identification engine **320** determines the closest match is fingerprint **511**, then response engine **350** will forward the function 1 command to device **100**. If the closest match is  
25 fingerprint **513**, then the response engine **350** will forward the function 3 to device **100**.

FIG. 6 is a diagram illustrating contents of a finger feature/characteristic table **310b** located in the memory  
30 **210**. Table **310b** not only includes a set of finger features **510**, but also includes motion datasets **610** and coordinates **620**. Accordingly, determination of a function from

functions **500** is based on not only finger features **510**, but also motion datasets **610** and coordinates **620**.

Accordingly, during operation of a sensor associated with table **310b**, the sensor will first read a finger feature, then read motion characteristics as a finger moves along the sensor surface, and then also read origin coordinates of where a finger originally touched the sensor. Alternatively, the measurements of finger feature, motion characteristics, and coordinates can take place in a different order. Therefore, the sensor associated with table **310b** allows for eight different functions as compared to a conventional button that might only allow for a single function.

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FIG. 7 is a flowchart of a method **700** to alter the functionality of a button based on finger feature identification and other factors. Note that method **700** runs continuously and several instances of method **700** may be running at one time to process data from several sensors and/or to process multiple data received from a single sensor. In one embodiment, method **700** can be performed by identification engine **320**, coordinate analysis engine **330**, motion analysis engine **340** and response engine **350**. First, a finger feature and optionally, coordinate and motion data, from a sensor, such as sensor **110**, are received **710**. Next, finger feature identification is performed **720**, by, for example, finger feature identification engine **320** by matching the received finger feature with a stored finger feature in table **310**. In an alternative embodiment, in place of finger feature identification **710**, finger characteristic identification may be performed, which

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includes identifying finger characteristic data from the received finger feature and then matching the identified characteristic data with stored finger characteristic data in table **310**.

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Then, the user identification could be optionally performed **725** by looking up the name/identification (ID) of the user whose finger features stored in table **310** correspond to the received finger feature. If the matching user is found, his name/ID can be stored in the memory in association with the function that he selected **750** to future identify who selected a particular function **750**. The sets of functions (500) corresponding to finger features **510** may be different for different users. In this case, adding additional users amounts to adding new entries (**510**, **500** and optionally **610** and **620**) to the table **310b**. If the received feature **500** is not in the table **310**, a default function (which may be no function) is selected.

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20 Next, coordinate analysis is optionally performed **730**, by, for example, coordinate analysis engine **330** by matching the received coordinate data with coordinates, or a region of coordinates in table **310**. Next, motion analysis is optionally performed **740** by, for example, motion analysis engine **340** by matching the received motion data with motion data stored in table **310**. Note that motion analysis may also include character recognition. In an alternative embodiment, finger feature identification, coordinate analysis and motion analysis can be performed in alternative orders.

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After finger feature identification **720** and optional user

**725**, coordinate **730** and motion analysis **740**, a function from table **310** corresponding to the matched finger feature or characteristic, optional coordinate and optional motion data is sent **750** to a device, such as device **100**. For  
5 example, in one embodiment response engine **350** may send a function corresponding to the matched data to device **100**. If device **100** is a mobile phone, the function may include dialing the phone, terminating a call, increasing speaker volume, etc. Accordingly, in a small mobile phone, only a  
10 single sensor may be needed to implement many different input functions as compared to a conventional ten or more button keypad.

The foregoing description of the illustrated embodiments of  
15 the present invention is by way of example only, and other variations and modifications of the above-described embodiments and methods are possible in light of the foregoing teaching. For example, system **105** and device **100** may be fully integrated such that only one CPU **230** and  
20 memory device **210** would be needed for both. One skilled in the art should note that the terms "pressing" or "depressing" with regard to keys or buttons should not be limited to buttons or keys that physically depress. Further, components of this invention may be implemented  
25 using a programmed general-purpose digital computer, using application specific integrated circuits, or using a network of interconnected conventional components and circuits. Connections may be wired, wireless, modem, etc. As a person skilled in the art would appreciate is that the  
30 present invention is not limited to small sensors or large sensors such as a touchscreen. All such variations are considered to be within the scope and spirit of the present



invention as defined by the following claims and their legal equivalents.